

OASIS Acceptance Tracking

Prepared for

myUnity Essentials Financial



Netsmart

www.ntst.com

11100 Nall Avenue
Overland Park, KS 66211
800.842.1973

© 2020 Netsmart Technologies, Inc. Confidential and Proprietary to Netsmart Technologies, Inc.

Table of Contents

Overview.....	1
Final Validation Import.....	1
Updating Acceptance Status Manually	3
Billing Pre-Audit OASIS Summary	3
Billing Pre-Audit Warnings/Failures	4
OASIS Status Report Tracking	6

Overview

The QIES ASAP OASIS system used prior to 1/1/2020 provided an OASIS Agency Final Validation report in XML format when an OASIS submission file had been successfully transmitted. The iQIES system provides the OASIS Agency Final Validation report in CSV format only. This file can be imported into myUnity Essentials Financial via the Clinical > OASIS Import menu.

Importing the Validation file allows users to easily track acceptance dates to prevent denial of PPS Final claims due to the OASIS not being accepted within 30 days of the M0090 Date reported on the Final Claim (see CMS transmittal CR9585). For instructions on downloading the CSV file from iQIES contact the iQIES helpdesk or reference the following links:

<https://iqies.cms.gov/help#viewing-reports>

https://www.youtube.com/watch?v=rVY41p7y4Q0&list=PLaV7m2-zFKpj2t7Qhn7ONiM0Zb_A1MTIq&index=5&t=0s.

Final Validation Import

Go to **Clinical > OASIS Import** (press the **Validation** button if you don't see the options below).

File Location: Browse and select the OASIS Final Validation csv file previously downloaded from the QIES site.

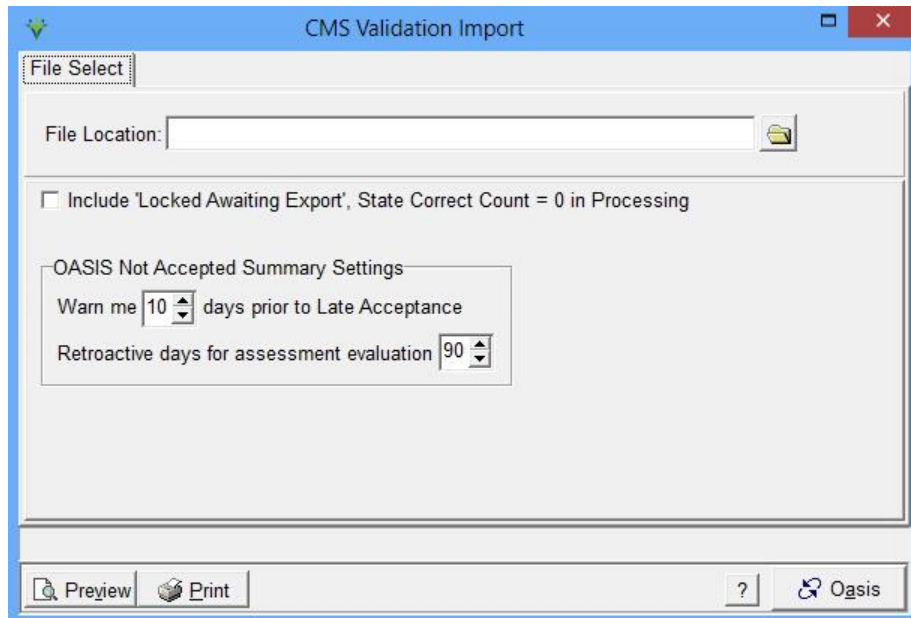
Include 'Locked Awaiting Export', State Correct Count = 0 in Processing: Select this option to include records that do not have an increment count and the assessment in Locked status (not Exported).

Warn me __days prior to Late Acceptance: Enter the number of days out from today's date to be used for acceptance date tracking in the Billing Pre-Audit OASIS Not Accepted Summary (max allowed is 40).

Retroactive days for assessment evaluation: Enter number of days to go back and identify non-accepted assessments on the Billing Pre-Audit OASIS Not Accepted Summary.

Click **Preview** and then select **Yes** at the prompt.

Note: in File > File Maintenance > Entity > OASIS Agency, the Agency Type must be set to **OASIS** to prevent an "Agency Not Found" message on the report.



Once the report has been Previewed/Printed the **Post** button is enabled.

The report will list each assessment record with one of the following statuses:

Accepted: The OASIS status will change from “Exported” to “Accepted by CMS” once posted.

Rejected: The OASIS status will change from “Exported” to “Rejected by CMS” once posted. The rejection reason will be shown on the OASIS Validation report. It can also be viewed by hovering over the assessment record in the Patient > Certify > OASIS/HIS tab.

Not Found: This status indicates the OASIS record is not on file for the patient or there’s an increment counter mismatch between the assessment and the validation file.

Status Is Invalid: The assessment is currently in Locked status, therefore the validation status being returned may pertain to an outdated record.

Sample report:

7/19/2017 4:00:58 PM	OASIS Validation			Page 1
NJ123111:oasistest3.zip	Home Health Care Services		\tsclient\C\Users\Kristin\Desktop	
Name	Medicare No	Date	RFA	Status
CROSS, PATTY	859978888A	07/19/2017	04	Accepted
WOOLEY, ANN	87987987A	04/05/2017	01	REJECTED
FAILURE: (M0030_START_CARE_DT, M0032_ROC_DT, M0032_ROC_DT_NA, M0040_PAT_FNAME, M0040_PAT_LNAME, M0064_SSN, M0064_SSN_UK, M0066_PAT_BIRTH_DT, M0069_PAT_GENDER, M0090_INFO_COMPLETED_DT, M0100_ASSMT_REASON: 04/05/2017, , 1, WOOLEY, ANN, 328506889, 0, 07/22/1954, 1, 05/03/2017, 01) - No Match Found: This modification/inactivation record does not match a previously accepted record in the QIES ASAP System. One or more of the items of this record did not match the corresponding items of an existing record in the database.				

Updating Acceptance Status Manually

Go to **Clinical > OASIS/HIS** Export and click the **Unpost Files** tab.

Highlight the export file in the top grid that has the OASIS file to be marked as Accepted or Rejected.

Check the box for **Manually Edit CMS Status**.

Edit Status for Selected Record: if this radio button is selected, click on the assessment in the grid to mark the status for only the selected assessment (used if one assessment status is different from the other assessments in the file).

Edit Status for ALL Records: if this radio button is selected, all assessments in the selected file will be updated with the specified Status.

After entering the Master Password, the **Status** and **Status Date** fields will be enabled. Select Accepted or Rejected and the date of acceptance or rejection by iQIES. The Status Date for Accepted records is used on reports to determine if timely submission was met.

Press **Save** after making changes.

The screenshot shows the 'CMS Data Export' window with the 'Unpost Files' tab selected. It displays a table of export files and a detailed table of assessment records. Below the tables are options for editing CMS status, including a dropdown for 'Status' (set to 'Accepted') and a dropdown for 'Status Date' (set to '3/12/2021'). Radio buttons allow for editing status for either the selected record or all records. The bottom of the window features a toolbar with buttons for Preview, Print, Older, Unpost, Save, and Cancel.

Export_Date	Export_filename
3/12/2021	OASIS03-12-2021.zip

Status	Status_Date	Code	Name	RFA	Date	Ass
Exported	3/12/2021	131515	Metcalf, Laurie	4	01/27/2020	OAS
Exported	3/12/2021	131616	Allyn, Alan	1	11/01/2020	OAS
Exported	3/12/2021	131128	Splitt, Mel	1	11/06/2020	OAS

Do Not Unpost Records Already Accepted by CMS Status: **Accepted**

Manually Edit CMS Status Status Date: **3/12/2021**

Edit Status for Selected Record

 Edit Status for ALL Records

Preview Print Older Unpost Save Cancel

Billing Pre-Audit OASIS Summary

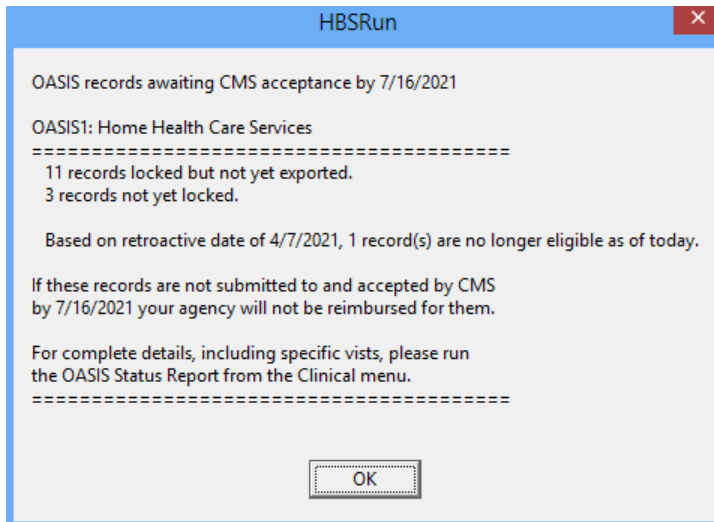
Go to the **Billing > Billing Pre-Audit** and set the **Billing type** to **PPS Billing**. On the **More Options** tab, check the option for **OASIS Not Accepted**.

On the **General Options** tab, press the **Oasis** button (only enabled when OASIS assessments are present with dates on or after the Retroactive Days selected in the OASIS Import menu) to view the OASIS Not Accepted Summary.

The “**acceptance by**” date is determined by the setting for **Warn me ___days prior to Late Acceptance** value entered in **Clinical > OASIS Import**.

For further detail on rejected, late or not locked records, review the [OASIS Status Report](#).

Sample Summary:



Billing Pre-Audit Warnings/Failures

The **More Options** tab has settings for Warnings or Failures for assessments not exported or accepted.

Oasis Not Exported: check to show Warnings on the Ready to Bill report for RAPs and Finals if the OASIS is not marked Exported. Check **Fail** to show as a Failure instead of Warning.

Oasis Not Accepted: check to show Warnings on the Ready to Bill report for RAPs and Finals if the OASIS is rejected or not accepted within 30-days. Check **Fail** to show as a Failure instead of Warning for PPS Finals only.

Billing Pre-Audit Report ---> Today's Date Is: 3/31/2022

General Options | More Options

PPS Warning/Failure Types:

- No Valid PPS Insurance
- Missing Discharge Oasis
- Finals Without Skilled Visit
- Unbilled Finals > Days Due
- Unbilled Finals Pat-Disch
- Oasis Not Exported Fail
- Oasis Not Accepted Fail
- SOC Late Timing Fail
- Final First Billable <> RAP Fail
- Final With RAP Not Paid Fail

Generic Warning/Failure Types:

- Un-Signed/Printed 485 Fail
- All Documents Received Fail
- Missing Doctor NPI
- Missing Ins-Contract #
- No Charge Authorization
- Doctor PECOS Enrolled Fail
- Un-Verified Visits Fail
- Visits Over 12 Hours Fail
- Only Held Cert-Periods Fail
- Show Held Charges

Today Is: 3/31/2022 Use

Preview Print Bill Audit Oasis ?

Sample Report:

7/6/2021 3:11:36 PM AsOf: 3/31/2022 **PPS Billing Pre-Audit - Failures** Final Claims Page 1
 Patient Sequence All Records(s) From:4/30/2020 To:4/30/2022 Home & Hospice Care Services
 PPS Billing

Abbie, Abby - 131345 Prim-Ins: Blue Cross MA PPS Birth: 5/29/1949

Admit: 1/2/2020 Period-From: 03/02/2020 Period-To: 04/30/2020 Disch: 1/13/2021 Expired
 First-Visit: 03/02/2020 Exported: 03/25/2020 Hipps: 1BHKS Days: 60
 Assessed: 03/01/2020 Initial-Bill: 03/23/2020 Hrg: C2F3S1 PDGM: 01/01/2022
 Insurance-1: Blue Cross Ma Pps - MAPLAN Effective: 01/02/2020 - 12/31/2020 PPS

Failure: Assessment was not accepted by CMS.
 Warning: Period is 760 days old and final has not been run.
 Warning: Patient is discharged and final has not been run.

Assessments For Episode From 3/2/2020 To: 4/30/2020

Assessed	Hipps	Hrg	RFA	Status	Status-Date	Claim-Key
3/1/2020	1BHKS	C2F3S1	4	Exported	3/23/2020	20AB19MX41DQEHLDA

Adams, Noel - 342 Prim-Ins: Aetna Medicare PDGM Birth: 2/4/1957

Admit: 2/4/2021 Period-From: 02/04/2021 Period-To: 03/05/2021
 First-Visit: 02/04/2021 Exported: 05/03/2021 Hipps: 2HA11 Days: 30
 Assessed: 02/04/2021 Initial-Bill: 03/23/2021 Period: 1
 Insurance-1: Aetna Medicare PdgM - 61111 Effective: 01/01/2000 - 12/31/2099 PPS
 Insurance-2: Medicare - 60016 Effective: 01/01/2000 - 12/31/2099 PPS

Failure: Assessment has not been exported.
 Failure: Assessment was not accepted by CMS.
 Failure: First assessment has incorrect RFA or Status.
 Warning: Episode is 421 days old and patient not discharged.
 Warning: Period is 421 days old and final has not been run.

Assessments For Episode From 2/4/2021 To: 4/4/2021

Assessed	Hipps	HippsP2	RFA	Status	Status-Date	Claim-Key
2/4/2021	2HA11	3HA11	1	CMS Rejected	5/3/2021	21BI19MX11DKDDAGDA

OASIS Status Report Tracking

Go to **Clinical > Oasis Status Report** to track the status of assessments to ensure they are submitted to iQIES timely.

Selection Type: Select All Records or specific Patients, Units or Primary Insurances.

Cert Period Date Selection: Enter a From Date no earlier than 4/1/2017 and To Date at least 5 days after today's date to include recertification assessments for upcoming cert periods.

Episode Type: All

Select Status to Process:

Not Locked – show only assessments in Failed Validation, Awaiting Validation or Successful status.

Locked Awaiting – show only assessments Locked but not Exported yet.

Accepted – show only assessments Accepted by iQIES.

Rejected – show only assessments Rejected by iQIES.

Expired – show only assessments not Accepted by iQIES by the 30-day deadline (indicated with an * asterisk on the report).

The screenshot shows the 'Oasis Status Report' application window. The 'General Options' tab is active. The 'Report Sequence' is set to 'Patient'. The 'Selection Type' is set to 'All Records'. The 'Cert Period Date Selection' is set to 'From: 1/1/2020' and 'To: 4/26/2021'. The 'Episode Type' is set to 'All'. The 'HIS Records' checkbox is unchecked. The 'Select Status to Process' section has 'Not Locked' selected. The 'Reason For Assessment' is set to 'All' and '1 - Start of Care'. The 'Unique Agency OASIS ID' is set to 'All' and 'HOSPICE1'. The window has 'Preview' and 'Print' buttons at the bottom left and a help icon at the bottom right.

Sample Report:

8/1/2017 2:19:40 PM		OASIS Status Report						All Episodes / All RFA		Page 1
Patient Sequence		All Records From:6/1/2017 To:8/7/2017						Home Health Care Services		
Start	End	Visit	Initial	Final	Status Date	Export	Reason	Status (*) = Expired	Expiration	
Abbingtion, Abigail - 131753			Admit: 7/30/2016							
5/26/2017	7/24/2017	5/26/2017	6/1/2017							
OID: OASIS1		5/25/2017	3AFKS	C1F1S1	7/28/2017	7/28/2017	4-F/U	(*)CMS Accepted	6/24/2017	
7/25/2017	9/22/2017									
OID: OASIS1		7/23/2017	3AFKS	C1F1S1	7/28/2017	7/28/2017	4-F/U	CMS Accepted	8/22/2017	
Allred, Andrea R - 131366			Admit: 11/22/2016							
7/20/2017	9/17/2017									
OID: OASIS1		7/19/2017	3AFKS	C1F1S1	7/28/2017	7/28/2017	4-F/U	CMS Rejected	8/18/2017	
Assessment, Oasis - 1027			Admit: 6/25/2017							
6/25/2017	8/23/2017									
OID: OASIS1		6/25/2017	1AFKS	C1F1S1	6/26/2017	7/18/2017	1-SOC	CMS Accepted	7/25/2017	
Cross, Patty - 1005			Admit: 11/23/2016							
5/22/2017	7/20/2017	5/24/2017	5/31/2017							
OID: OASIS1		5/22/2017	3AFKS	C1F1S1	7/8/2017	7/7/2017	4-F/U	(*)CMS Accepted	6/21/2017	
7/21/2017	9/18/2017									
OID: OASIS1		7/19/2017	3CFKS	C3F1S1	7/19/2017	7/19/2017	4-F/U	Exported	8/18/2017	
Wooley, Ann - 1023			Admit: 4/5/2017							
4/5/2017	6/3/2017	4/5/2017	4/15/2017							
OID: OASIS1		4/5/2017	1AFKS	C1F1S1	5/15/2017	7/19/2017	1-SOC	(*)Exp. Inactivation	5/5/2017	
7 Total Records										